

Recap and formalisms

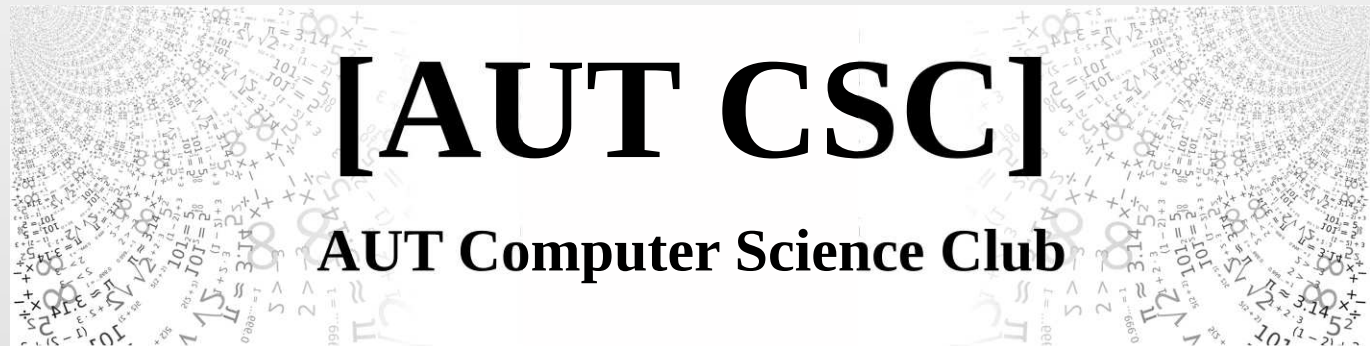
The usual suspects

Limitations

Questions

Uses and limitations of asymptotic analysis

Or: How to assume like a computer scientist



Koz Ross

April 13, 2017

Overview

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- Where we last left our intrepid heroes. . .
- Example from last week
- Definition of O (at last!)
- Examples of use

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Where we last left our intrepid heroes...

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- Algorithms are recipes for a computer

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- Algorithms are recipes for a computer
- We want them to be efficient (time and space)

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Questions

- Algorithms are recipes for a computer
- We want them to be efficient (time and space)
- Must have a way to compare algorithms, and explain their performance

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- Algorithms are recipes for a computer
- We want them to be efficient (time and space)
- Must have a way to compare algorithms, and explain their performance
- Implement-and-measure is *not* a good approach

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- Algorithms are recipes for a computer
- We want them to be efficient (time and space)
- Must have a way to compare algorithms, and explain their performance
- Implement-and-measure is *not* a good approach
- A model of a computer (RAM)

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- Algorithms are recipes for a computer
- We want them to be efficient (time and space)
- Must have a way to compare algorithms, and explain their performance
- Implement-and-measure is *not* a good approach
- A model of a computer (RAM)
- Worst-case, asymptotic analysis

Example from last week

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```
function Max(arr)
   $x \leftarrow \text{arr}[1]$ 
  for  $i \in 2, 3, \dots, \text{length}(\text{arr})$  do
    if  $x < \text{arr}[i]$  then
       $x \leftarrow \text{arr}[i]$ 
    end if
  end for
  return  $x$ 
end function
```

Example from last week

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```
function Max(arr)
  x ← arr[1]
  for i ∈ 2, 3, . . . , length(arr) do
    if x < arr[i] then
      x ← arr[i]
    end if
  end for
  return x
end function
```

$T_{\text{Max}}(n)$ is $O(n)$

Example from last week

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function Max(arr)
  x ← arr[1]
  for i ∈ 2, 3, . . . , length(arr) do
    if x < arr[i] then
      x ← arr[i]
    end if
  end for
  return x
end function
```

$T_{\text{Max}}(n)$ is $O(n)$

$S_{\text{Max}}(n)$ is $O(1)$

What people see when they look at a formal definition of O

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What people see when they look at a formal definition of O

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Don't worry — we'll go **slow**.

Definition of O (at last!)

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Definition. *Let f, g be functions.*

Definition of O (at last!)

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Definition. *Let f, g be functions. If there exist $n_0, c > 0$,*

Definition of O (at last!)

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Definition. *Let f, g be functions. If there exist $n_0, c > 0$, such that for all $n > n_0$, we have:*

Definition of O (at last!)

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Definition. *Let f, g be functions. If there exist $n_0, c > 0$, such that for all $n > n_0$, we have:*

$$f(n) \leq c \cdot g(n)$$

Definition of O (at last!)

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Definition. *Let f, g be functions. If there exist $n_0, c > 0$, such that for all $n > n_0$, we have:*

$$f(n) \leq c \cdot g(n)$$

then we say that f is $O(g)$.

Definition of O (at last!)

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Definition. *Let f, g be functions. If there exist $n_0, c > 0$, such that for all $n > n_0$, we have:*

$$f(n) \leq c \cdot g(n)$$

then we say that f is $O(g)$.

We write $O(n)$ as a kind of shorthand — otherwise, we would have to write $O(f$ such that $f(n) = n)$, which is far too long.

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Lemma. $6n - 1$ is $O(n)$.

Examples of use

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Lemma. $6n - 1$ is $O(n)$.

Proof. Let $n_0 = 1, c = 7$.

Examples of use

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Lemma. $6n - 1$ is $O(n)$.

Proof. Let $n_0 = 1, c = 7$. We observe that, for all $n > 1$, we have

$$6n - 1 \leq 7n$$

Examples of use

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Lemma. $6n - 1$ is $O(n)$.

Proof. Let $n_0 = 1, c = 7$. We observe that, for all $n > 1$, we have

$$6n - 1 \leq 7n$$

Thus, by definition, $6n - 1$ is $O(n)$.



Examples of use

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Lemma. n^2 is not $O(n)$.

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Proof. Suppose for the sake of a contradiction that n^2 is $O(n)$.

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Lemma. n^2 is not $O(n)$.

Proof. Suppose for the sake of a contradiction that n^2 is $O(n)$. By definition, there exist some $n_0, c > 0$ such that for all $n > n_0$,
$$n^2 \leq c \cdot n.$$

Examples of use

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Proof. Suppose for the sake of a contradiction that n^2 is $O(n)$. By definition, there exist some $n_0, c > 0$ such that for all $n > n_0$, $n^2 \leq c \cdot n$. Consider $n = \max\{n_0 + 1, c + 1\}$.

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Examples of use

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Case 1: $n = n_0 + 1$

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Case 1: $n = n_0 + 1$

By substitution, we have $(n_0 + 1)^2 \leq c \cdot (n_0 + 1)$, which simplifies to $n_0 + 1 \leq c$.

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Case 1: $n = n_0 + 1$

By substitution, we have $(n_0 + 1)^2 \leq c \cdot (n_0 + 1)$, which simplifies to $n_0 + 1 \leq c$. However, this is a contradiction, as $c < n_0 + 1$.

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Case 1: $n = n_0 + 1$

By substitution, we have $(n_0 + 1)^2 \leq c \cdot (n_0 + 1)$, which simplifies to $n_0 + 1 \leq c$. However, this is a contradiction, as $c < n_0 + 1$.

Case 2: $n = c + 1$

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Case 1: $n = n_0 + 1$

By substitution, we have $(n_0 + 1)^2 \leq c \cdot (n_0 + 1)$, which simplifies to $n_0 + 1 \leq c$. However, this is a contradiction, as $c < n_0 + 1$.

Case 2: $n = c + 1$

By substitution, we have $(c + 1)^2 \leq c \cdot (c + 1)$, which simplifies to $c + 1 \leq c$, which is a contradiction.

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Case 1: $n = n_0 + 1$

By substitution, we have $(n_0 + 1)^2 \leq c \cdot (n_0 + 1)$, which simplifies to $n_0 + 1 \leq c$. However, this is a contradiction, as $c < n_0 + 1$.

Case 2: $n = c + 1$

By substitution, we have $(c + 1)^2 \leq c \cdot (c + 1)$, which simplifies to $c + 1 \leq c$, which is a contradiction.

As both cases lead to contradictions, no such n_0, c can exist. Thus, n^2 is not $O(n)$. □

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- The 'good' ones
- The 'acceptable' ones
- The '*un*acceptable' ones

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$O(1)$

Constant: input size does not affect complexity.

The 'good' ones

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$O(1)$

Constant: input size does not affect complexity.

$O(\log(n))$

Logarithmic: when input size doubles, complexity goes up by a fixed amount.

The 'good' ones

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$O(1)$

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Logarithmic: when input size doubles, complexity goes up by a fixed amount. We don't care about the base of the logarithm here, because we can change to any base using a multiplication by a constant.

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Constant: input size does not affect complexity.

$O(\log(n))$

Logarithmic: when input size doubles, complexity goes up by a fixed amount. We don't care about the base of the logarithm here, because we can change to any base using a multiplication by a constant.

$O(n)$

Linear: when input size doubles, complexity also doubles.

The ‘acceptable’ ones

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$$O(n \log(n))$$

Linearithmic: when input size doubles, complexity doubles, then also increases by a fixed amount.

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$$O(n \log(n))$$

Linearithmic: when input size doubles, complexity doubles, then also increases by a fixed amount. Its ‘wordy’ name is rarely used outside of a textbook.

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$$O(n \log(n))$$

Linearithmic: when input size doubles, complexity doubles, then also increases by a fixed amount. Its 'wordy' name is rarely used outside of a textbook.

$$O(n^2)$$

Quadratic: when input size doubles, complexity *quadruples*.

The 'acceptable' ones

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Linearithmic: when input size doubles, complexity doubles, then also increases by a fixed amount. Its 'wordy' name is rarely used outside of a textbook.

$$O(n^2)$$

Quadratic: when input size doubles, complexity *quadruples*.

$$O(n^3)$$

Cubic: when input size doubles, complexity increases by a factor of eight.

The ‘*unacceptable*’ ones

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$O(2^n)$

Exponential: when input size goes up by 1, complexity doubles.

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$O(2^n)$

Exponential: when input size goes up by 1, complexity doubles. If your algorithm has exponential time or space complexity, it may as well not exist.

The ‘*unacceptable*’ ones

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$O(2^n)$

Exponential: when input size goes up by 1, complexity doubles. If your algorithm has exponential time or space complexity, it may as well not exist.

Only gets *worse* from here — but we usually don’t bother at this point.

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- Limitations of worst-case analysis
- Limitations of RAM
- Limitations of asymptotic analysis
- Should we bother with it, then?

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Limitations of worst-case analysis

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● **Limitations of worst-case analysis**

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Questions

Worst-case analysis is *pessimistic* — this can be a good thing, because it stops us from having unrealistic expectations in the face of unknown inputs.

Limitations of worst-case analysis

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Worst-case analysis is *pessimistic* — this can be a good thing, because it stops us from having unrealistic expectations in the face of unknown inputs. However, it is possible for it to be *too* pessimistic:

Limitations of worst-case analysis

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Worst-case analysis is *pessimistic* — this can be a good thing, because it stops us from having unrealistic expectations in the face of unknown inputs. However, it is possible for it to be *too* pessimistic:

- If worst cases are rare or improbable, an algorithm might look much worse than it actually is

Limitations of worst-case analysis

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- If worst cases are rare or improbable, an algorithm might look much worse than it actually is
- Focus on the wrong places (exactly what we sought to *avoid*)

Limitations of worst-case analysis

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- If worst cases are rare or improbable, an algorithm might look much worse than it actually is
- Focus on the wrong places (exactly what we sought to *avoid*)
- Won't match reality (and we won't know why)

Limitations of worst-case analysis

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Worst-case analysis is *pessimistic* — this can be a good thing, because it stops us from having unrealistic expectations in the face of unknown inputs. However, it is possible for it to be *too* pessimistic:

- If worst cases are rare or improbable, an algorithm might look much worse than it actually is
- Focus on the wrong places (exactly what we sought to *avoid*)
- Won't match reality (and we won't know why)

Is it really sensible to *always* be *uncompromisingly* pessimistic?

Limitations of RAM

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“RAM describes computers, and if we design algorithms around it, their actual performance should reflect what RAM says it should be.”

Limitations of RAM

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“RAM describes computers, and if we design algorithms around it, their actual performance should reflect what RAM says it should be.”

That statement was certainly true in the 1950s, but things have changed *considerably* since then:

Limitations of RAM

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“RAM describes computers, and if we design algorithms around it, their actual performance should reflect what RAM says it should be.”

That statement was certainly true in the 1950s, but things have changed *considerably* since then:

- Processing units are no longer singular

Limitations of RAM

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“RAM describes computers, and if we design algorithms around it, their actual performance should reflect what RAM says it should be.”

That statement was certainly true in the 1950s, but things have changed *considerably* since then:

- Processing units are no longer singular
- Memory is not uniform or uniformly-addressable nowadays

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- Memory is not uniform or uniformly-addressable nowadays
- Processing units haven't been sequential since the mid-70s

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That statement was certainly true in the 1950s, but things have changed *considerably* since then:

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- Memory is not uniform or uniformly-addressable nowadays
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Is it really sensible to analyze (and *design*) algorithms based on such an old view of our machines?

Limitations of asymptotic analysis

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- Limitations of worst-case analysis
- Limitations of RAM
- Limitations of asymptotic analysis
- Should we bother with it, then?

Questions

The assumptions of asymptotic analysis are self-consistent, but are they consistent with *reality*?

Limitations of asymptotic analysis

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- **Limitations of asymptotic analysis**
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Questions

The assumptions of asymptotic analysis are self-consistent, but are they consistent with *reality*?

- There *is* a limit on how big inputs can get

Limitations of asymptotic analysis

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The assumptions of asymptotic analysis are self-consistent, but are they consistent with *reality*?

- There *is* a limit on how big inputs can get
- $2n$ and $2^{10}n$ are both $O(n)$, but practically, are *very* different, especially for space

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Recap and formalisms

The usual suspects

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“Our algorithms have theoretical interest only; the constant factors involved in the execution times preclude practicality.”

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This seems to fly in the face of why we’re doing this in the first place. But where to draw the line?

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“Trust, but verify.”

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